

Experimental investigation of the formation of nano-indentations in thin polymer films

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Presentation outline

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□ Experimental setup description

- Atomic Force Microscopy (AFM) setup
- Nano-indentation formation mechanism
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□ Nano-indentation modeling

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- Model fitting
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□ Conclusions

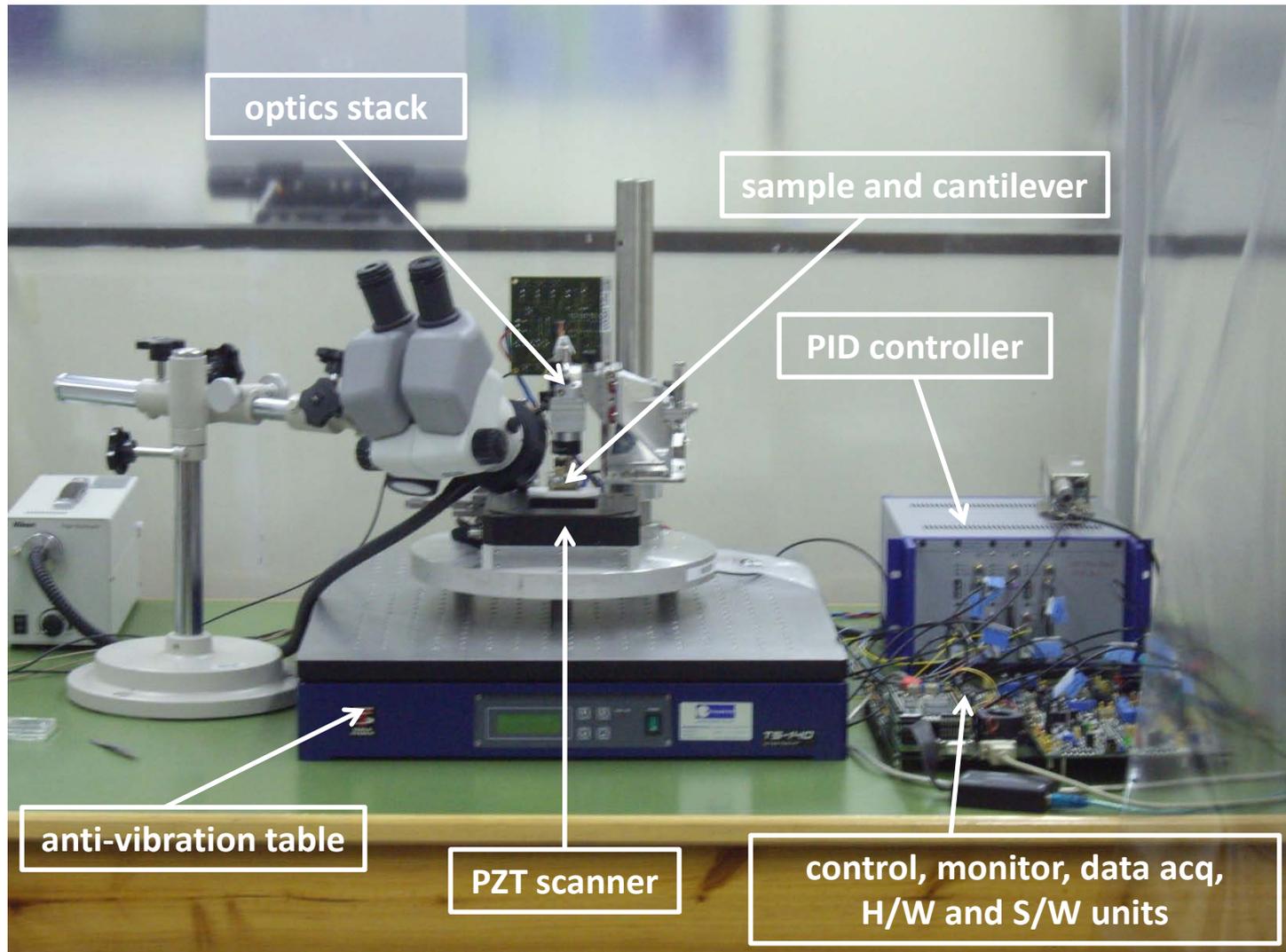
Introduction

- ❑ Scanning probes with nanometer-sharp tips have been used extensively the last few years.
 - Nanolithography, imaging, etc
 - Ultra-high-density data storage

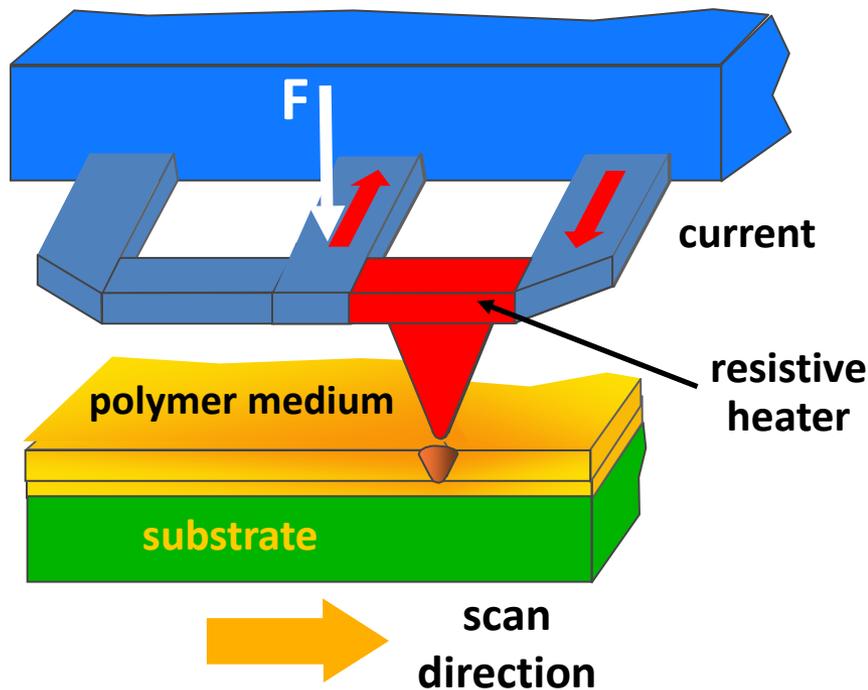
- ❑ In probe-based data storage, information is stored in the form of nanoscale indentations by locally altering the storage medium's properties.
 - Polymer shape (thermo-mechanically)
 - Phase change (electrically)

- ❑ Investigate the effect of nanopositioning speed on the 3-D shape of indentations created on thin polymer films. Motivation:
 - Analytical model of the indentation (simulation)
 - Accuracy of detecting the stored information (adaptive detection)

AFM Experimental Setup



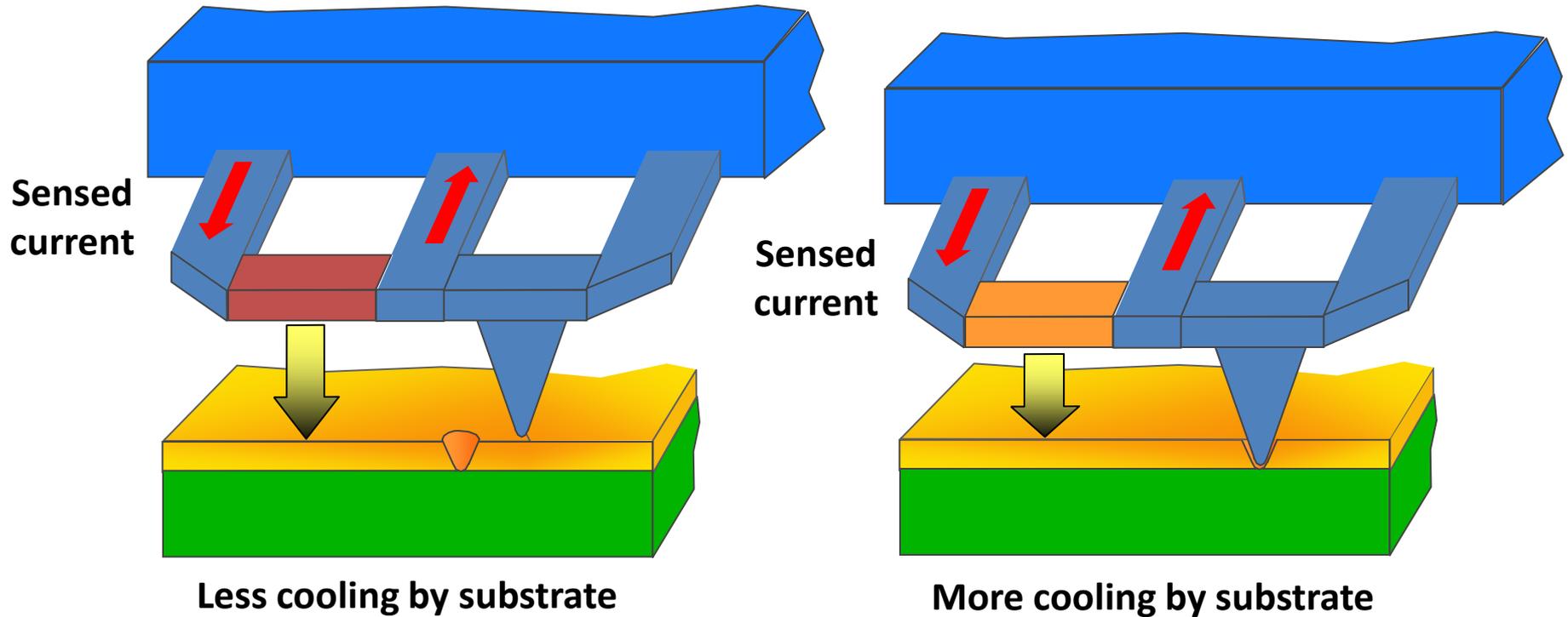
Nano-indentation formation mechanism



Duration:	$1\mu\text{s} - 5\mu\text{s}$
Heater temperature:	$350^\circ\text{C} - 500^\circ\text{C}$
Tip temperature:	$\sim 200^\circ\text{C} - 300^\circ\text{C}$
Force :	$50\text{nN} - 300\text{nN}$ (ESF $\sim 3\text{V} - 10\text{V}$)

- ❑ The current that flows through the resistance heats the cantilever tip.
- ❑ An electrostatic force pulse applied between the cantilever and the substrate forces the tip to contact the underlying polymer.
- ❑ The heated tip softens the polymer forming an indentation.
- ❑ Indentation shape and dimensions:
 - Formation process parameters
 - Cantilever mounting angle
 - **Scanner velocity during formation**
 - Tip apex dimensions (wear) and shape (usually conical)

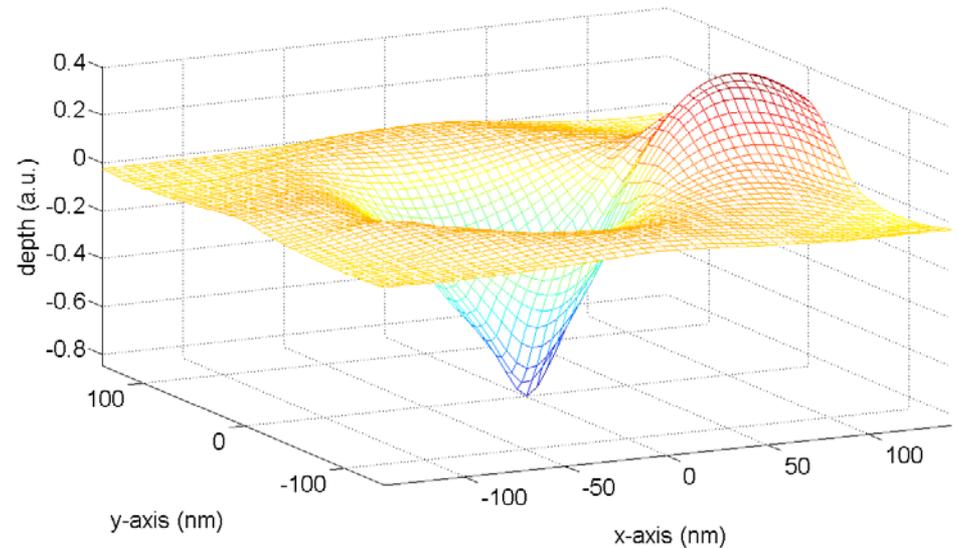
Imaging mechanism: thermo-electrical



- ❑ The temperature of the sensing element determines its resistance.
- ❑ The resistance change over time is detected as a change in the voltage between the two cantilever legs.

3-D indentation model

- ❑ Polymer bulges around the edges of the indentation (RIMs).
- ❑ Nano-indentations present:
 - asymmetric x-y widths
 - asymmetric x-y RIMs
 - asymmetric x-axis RIMs depending on the direction of motion
- ❑ Starting from a two dimensional Gaussian function, a modified two dimensional Laplacian of Gaussian parametric function is derived.



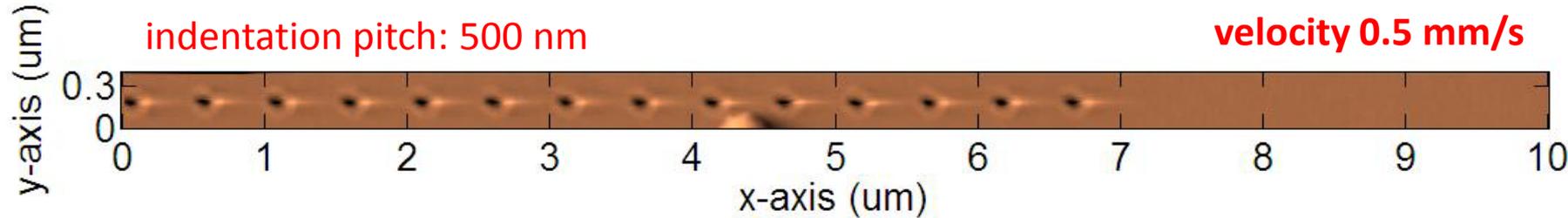
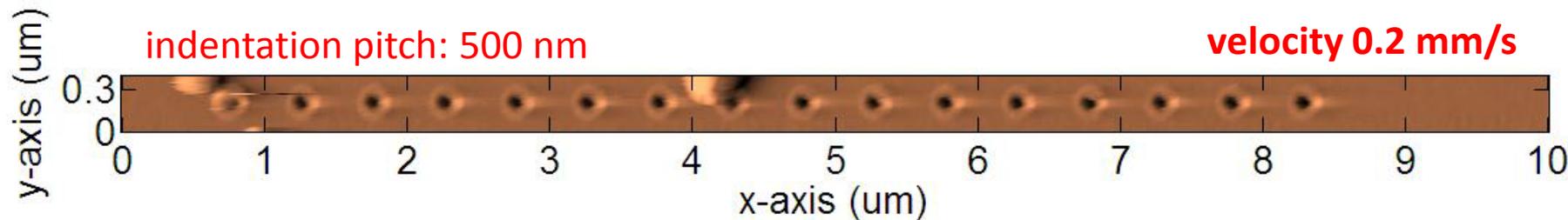
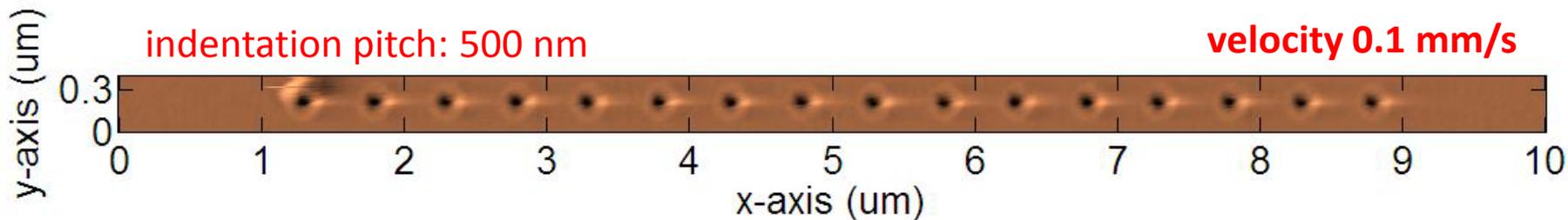
Example indentation obtained from experimental data

The proposed model captures the asymmetric shape of the 3-D indentation:

$$S(x, y) = p_1 \left[\frac{p_4 (1 + [0.5(\text{sgn}(x) - 1)] p_6) x^2 - p_2^2}{p_2^4} + \frac{p_5 y^2 - p_3^2}{p_3^4} \right] \exp \left[- \left(\frac{x^2}{2p_2^2} + \frac{y^2}{2p_3^2} \right) \right]$$

where $\mathbf{p} = [p_1 \ p_2 \ p_3 \ p_4 \ p_5 \ p_6]^T$ the vector of the function's coefficients.

Experimental nano-indentation extraction



x/y scan step during imaging: 5 nm

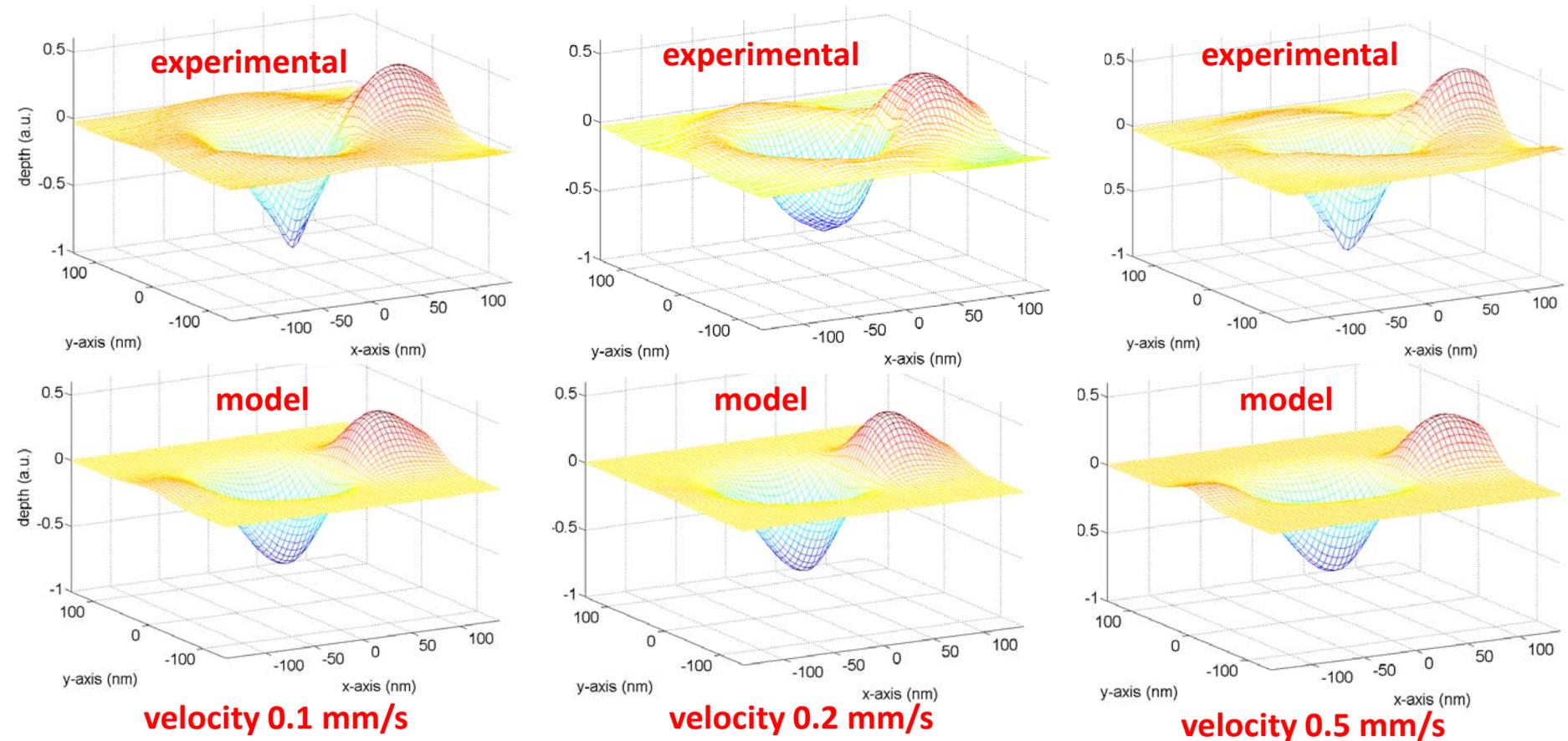
scan direction during formation process

- ❑ 2-D cross correlation of the imaged surface with a basic pulse → detection of nano-indentation centers



- ❑ Averaging for extraction of the mean experimental indentation per scan velocity

Model fitting to experimental average indentations

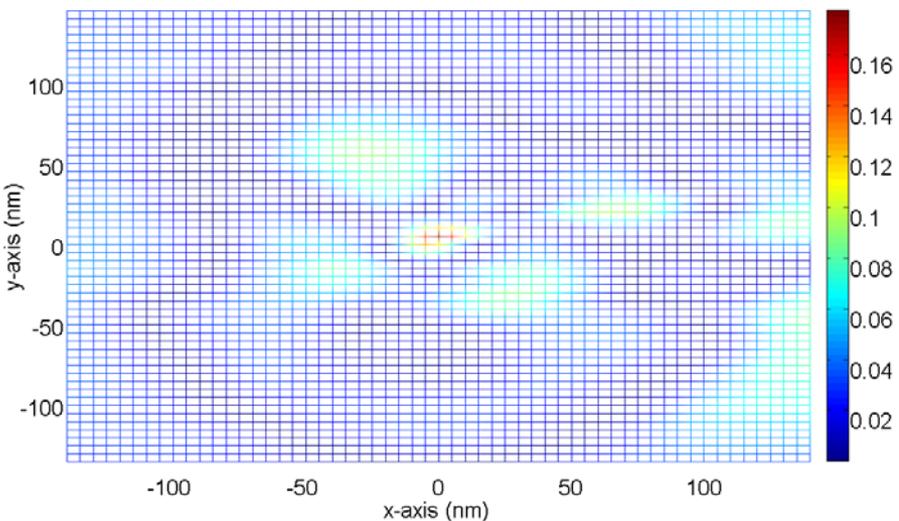


□ Find \mathbf{p} such that $\mathbf{S}(\mathbf{x}, \mathbf{y})$ best fits the average experimental indentation for each scan velocity.

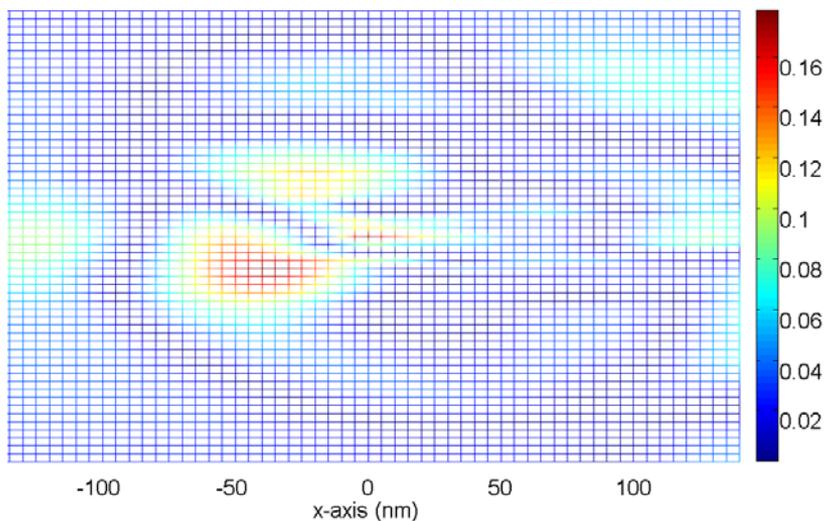
□ The optimal solution is obtained using non-linear least squares.

Performance results

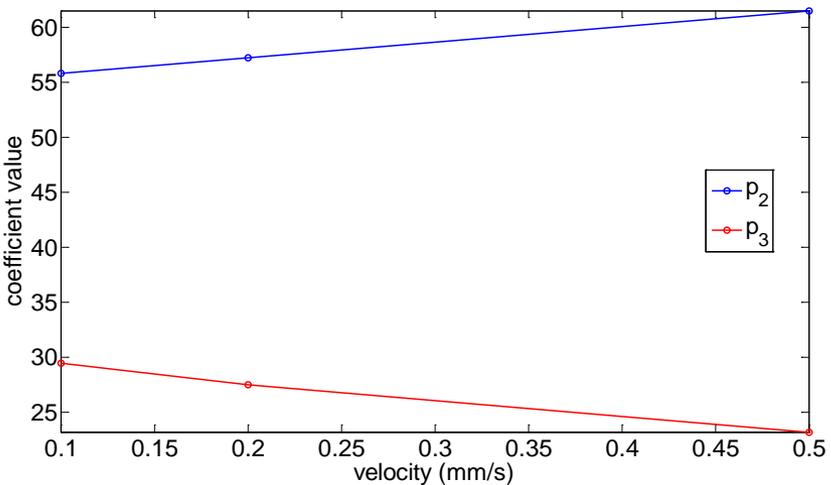
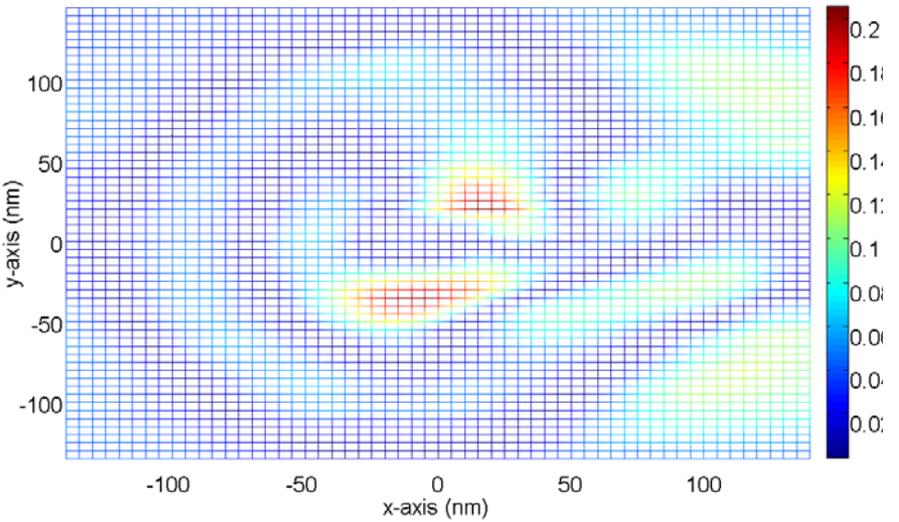
velocity 0.1 mm/s



velocity 0.5 mm/s



velocity 0.2 mm/s



Conclusions

- ❑ An analytical 3-D model of nano-indentations engraved on a thin polymer film has been derived.
- ❑ The model was based on experimental data obtained using an AFM-based setup equipped with thermomechanical cantilevers .
- ❑ The model captures well the asymmetry and shape of the actual indentation and adapts well when the velocity of the scanner during the formation process varies.
- ❑ The error between the two surfaces in terms of euclidean distance is below 20% of the maximum indentation depth.